Developing Medicaid-Based Primary Care Rational Service Areas in New York State

Presented by: Robert Martiniano, DrPH, MPA Senior Program Manager (518) 402-0250 Robert.Martiniano@health.ny.gov

Center for Health Workforce Studies
School of Public Health | University at Albany, SUNY

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What is a Rational Service Area

- RSAs are geographic areas that should correspond to how populations or communities access health care services
- RSAs may differ depending on the type of health care provided
 - RSAs for specialty care such as bypass surgery may be larger than those for primary care
- RSAs are
 - o similar in physical characteristics,
 - o similar in population or culture, and/or
 - o isolated by cultural barriers or physical barriers such as parks, rivers, major highways, or major industrial areas.



What is a Rational Service Area

- RSAs are used by HRSA in the analysis of shortage areas.
- RSAs for shortage designations can be
 - Whole counties
 - Aggregations of townships within counties (in rural areas)
 - o Entire cities
 - Aggregations of census tracts (in urban areas)
- Dr. David Goodman out of Dartmouth created primary care services areas (PCSAs) using Medicare data



Why Focus on the Medicaid Population

- New York has an abundance of primary care providers. There is however:
 - A maldistribution of physicians serving those in inner cities and in rural areas
 - Access issues for vulnerable populations, e.g., lowincome, Medicaid-eligible, with limited English proficiency
- Medicaid patient travel patterns are likely to differ from Medicare or commercially insured
- Better information on physicians serving Medicaid patients; little information on practice patterns of active physicians



RSA Development

- Assessed Medicaid Data. Limited to:
 - Outpatient settings
 - Primary care providers
 - Evaluation and management codes
 - 99201 99205
 - 99211 99215
 - 99381 99387
 - 99391 99397
- Linked zip code of patient to zip code of provider
- Linked zip codes to each other based on plurality of where patients went for care



RSA Development

- Only considered commuting distances within regions, i.e., did not consider patients in Capital District getting care in New York City
- Used Department of Labor regions
 - Can link to other DOL data on projections and employment data
- Used HRSA Guidelines to "rationalize" RSAs



New York State Regions





RSA Results

Region (1)	Total Medicaid Patients	Localization (2)	# zip codes	# RSAs	Mean zip/RSA	Total Population	Mean pop/RSA
Capital District	236,357	64.34%	262	16	16.4	1,085,965	67,873
Central NY	217,954	66.31%	145	13	11.2	814,919	62,686
Finger Lakes	346,671	53.85%	193	21	9.2	1,219,131	58,054
Hudson Valley	516,318	61.38%	326	39	8.4	2,301,120	59,003
Long Island	453,580	41.03%	207	48	4.3	2,871,770	59,829
Mohawk Valley	134,275	68.16%	165	13	12.7	506,780	38,983
New York City	3,703,310	32.26%	311	67	4.6	8,270,869	123,446
North Country	98,083	82.29%	182	15	12.1	428,539	28,569
Southern Tier	184,796	68.08%	158	18	8.8	640,599	35,589
Western NY	440,247	42.67%	209	27	7.7	1,396,367	51,717
Statewide	6,331,591	42.12%	2,158	277	7.8	19,536,059	70,527

⁽²⁾ Localization refers to the percent of patients who find primary care services within the same RSA



⁽¹⁾ RSAs rather than zip codes were linked to regions. Consequently, zip codes and their corresponding information in some cases are identified in a neighboring region.

Analyzing Need

- Identified data elements for analysis.
 - o Research
 - o HRSA Rules
 - o DOH Programs
- What was available by zip code
 - Link data elements using zip codes to RSAs
- Ranked each data element using quartiles



Initial Need Indicators Used

Demographic

- Percent population enrolled in Medicaid
- Percent population below 200% of the federal poverty level
- o Percent ages 65 and older
- Percent racial or ethnic minorities
- Limited English proficiency

Health Care

- Avoidable hospitalizations rate
- Avoidable ED visits rate
- Population-to-primary care physician rate
- Overall mortality rate
- Percent low birthweight births



Initial Needs Assessment Results

- Fourth quartile indicates the highest need
- RSAs in both rural and urban areas show need

		Quartiles								
Region		# RSAs	First	Second	Third	Fourth				
						Number	Percent			
	Capital District	16	6	3	6	1	6.25%			
	Central NY	13	6	4	2	1	7.69%			
	Finger Lakes	21	6	6	6	3	14.29%			
	Hudson Valley	39	17	13	4	5	12.82%			
	Long Island	48	16	19	8	5	10.42%			
	Mohawk Valley	13	1	3	7	2	15.38%			
	New York City	67	7	8	17	35	52.24%			
	North Country	15	1	4	4	6	40.00%			
	Southern Tier	18	1	4	8	5	27.78%			
	Western NY	27	4	3	6	14	51.85%			



Findings

- Commuting patterns differ by insurance status
 - Medicaid patients travel further for care
 - Fewer Medicaid RSAs than PCSAs
- Rural RSAs tended to be larger than urban RSAs and had more of their Medicaid patients staying in their "home" RSA for services
- Community characteristics as important as health care outcomes and provider availability in identifying need
- High need RSAs spread around the state, but many in New York City
 - Need identified in both rural and urban areas



RSA Development Limitations

- Initial RSAs did not follow current HPSA guidelines
- Analysis did not consider access to care in bordering states
- Claims data providing billing addresses instead of service addresses
- Instability of Medicaid patient counts



Data and Ranking Limitations

DATA

- Variability in data
 - Level of geography
 - ZCTAs, zip codes, and county level data
 - Census versus sample data
- Were the correct data elements used?

RANKING

- Sensitivity of ranking mechanism
 - How big of difference between categories
 - Weighted versus non-weighted
- Is it a true measure of need



Using Needs Assessments

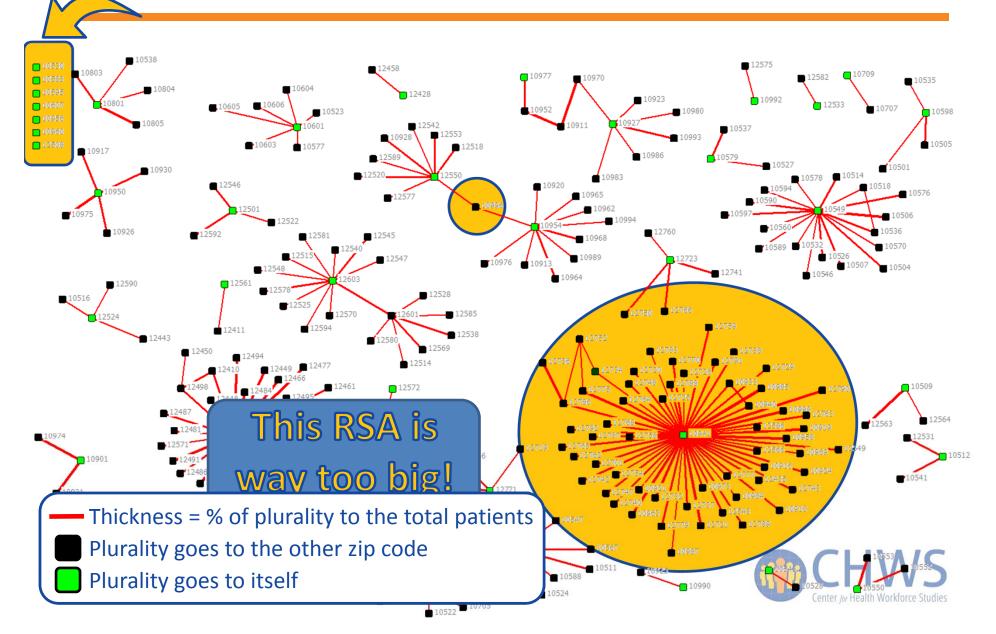
- Identify potential HPSAs and MUAs/Ps
- Identify areas of need for DSRIP, SHIP, and PHIP
- Identify areas of need for Doctors Across New York and other service-obligated placements
- Assess Medicaid utilization
- Also comparing to PCSAs



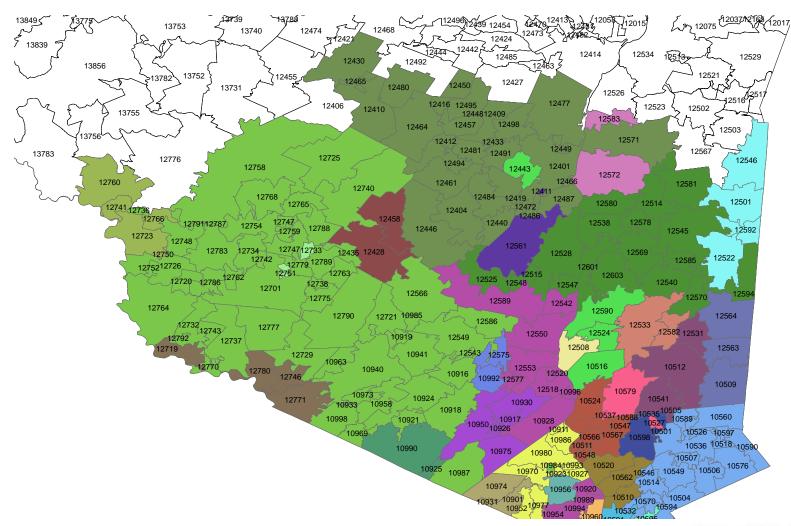
Maps of Lower Hudson Valley



Understanding Patient Commuting Patterns

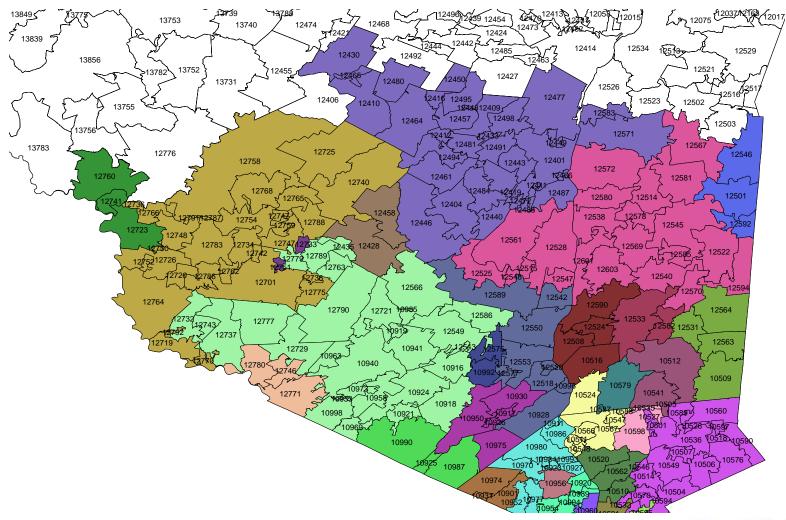


Initial RSAs Don't Follow HPSA Rules





Revised RSAs (Generally) Compliant with HPSA Rules





Thank You

Questions?????

